

# [3 + 1]- and [3 + 2]-Cycloadditions of Azaoxyallyl Cations and Sulfur Ylides

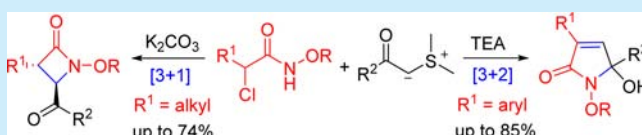
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**S** Supporting Information

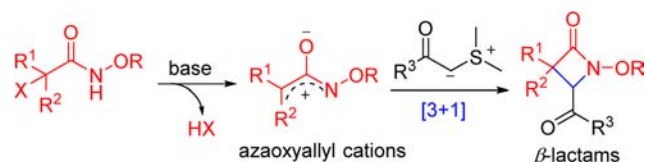
**ABSTRACT:** A new formal [3 + 1]-cycloaddition reaction of azaoxyallyl cation intermediates, generated in situ from  $\alpha$ -halo hydroxamates bearing  $\alpha$ -alkyl groups, and sulfur ylides is reported, furnishing useful  $\beta$ -lactams (dr >19:1) in fair to modest yields. In contrast, an unexpected formal [3 + 2]-cycloaddition reaction occurs to give  $\gamma$ -lactam derivatives for  $\alpha$ -halo hydroxamates with  $\alpha$ -aryl groups and sulfur ylides in the presence of bases.



As a type of valuable and powerful reagents, sulfur ylides have been widely utilized as one-carbon units in [2 + 1]-cycloadditions to build epoxides,<sup>1</sup> aziridines,<sup>2</sup> and cyclopropanes<sup>3</sup> or in [4 + 1]-cycloadditions to construct indolines,<sup>4</sup> oxazolidin-2-ones,<sup>5</sup> pyrrolines,<sup>6</sup> and other useful compounds.<sup>7</sup> They have been occasionally applied in [3 + 3]-cycloaddition reactions to access 4*H*-pyrans<sup>8</sup> and cyclohexadiene derivatives.<sup>9</sup> In addition, chemists also use sulfur ylides in some cascade reactions to produce complex cyclic compounds.<sup>9,10</sup> Nevertheless, the development of new cycloaddition patterns of these zwitterionic substances to furnish more ring systems still remains to be expanded.

On the other hand, azaoxyallyl cation intermediates, which can be readily generated from  $\alpha$ -halo hydroxamates in the presence of bases, were recently used as versatile 3-units in [3 + 4]- and [3 + 2]-cycloaddition reactions with electron-rich dienes<sup>11</sup> and indoles,<sup>12</sup> respectively. Consequently, we postulated that the azaoxyallyl cations might have high potential for assembly with sulfur ylides in an unprecedented [3 + 1]-cycloaddition manner,<sup>13</sup> as proposed in Scheme 1, providing a

**Scheme 1.** [3 + 1]-Cycloadditions of Azaoxyallyl Cations and Sulfur Ylides



convenient protocol to  $\beta$ -lactams,<sup>14</sup> which are key skeletons in a variety of biologically active compounds used against bacterial infections<sup>15</sup> and other types of diseases such as antifungal,<sup>16</sup> anticancer,<sup>17</sup> and antiviral.<sup>18</sup>

The initial reaction of  $\alpha$ -bromo hydroxamate **1a** and sulfur ylide **2a** resulted in no success in the presence of excess  $K_2CO_3$ ,

and only the HBr elimination product of **1a** was observed (Table 1, entry 1). Fortunately, by using substrate **1b** as the

**Table 1.** Screening Studies of [3 + 1]-Cycloadditions of  $\alpha$ -Bromo Hydroxamates **1** and Sulfur Ylide **2a**<sup>a</sup>

entry	1	solvent	base	3 (yield, <sup>b</sup> %)
1	1a	CH <sub>2</sub> Cl <sub>2</sub>	K <sub>2</sub> CO <sub>3</sub>	
2	1b	CH <sub>2</sub> Cl <sub>2</sub>	K <sub>2</sub> CO <sub>3</sub>	3a (41)
3 <sup>c</sup>	1b	CH <sub>2</sub> Cl <sub>2</sub>	K <sub>2</sub> CO <sub>3</sub>	3a (23)
4	1b	CH <sub>2</sub> Cl <sub>2</sub>	Na <sub>2</sub> CO <sub>3</sub>	3a (31)
5	1b	CH <sub>2</sub> Cl <sub>2</sub>	K <sub>2</sub> HPO <sub>4</sub>	3a (40)
6	1b	CH <sub>2</sub> Cl <sub>2</sub>	DIPEA	3a (39)
7 <sup>d</sup>	1b	CH <sub>2</sub> Cl <sub>2</sub>	K <sub>2</sub> CO <sub>3</sub>	3a (40)
8	1b	MeCN	K <sub>2</sub> CO <sub>3</sub>	3a (39)
9	1b	THF	K <sub>2</sub> CO <sub>3</sub>	3a (39)
10	1b	toluene	K <sub>2</sub> CO <sub>3</sub>	3a (36)
11 <sup>e</sup>	1b	CH <sub>2</sub> Cl <sub>2</sub> /HFIP	K <sub>2</sub> CO <sub>3</sub>	3a (/)
12 <sup>f</sup>	1b	CH <sub>2</sub> Cl <sub>2</sub>	K <sub>2</sub> CO <sub>3</sub>	3a (28)
13 <sup>g</sup>	1b	CH <sub>2</sub> Cl <sub>2</sub>	K <sub>2</sub> CO <sub>3</sub>	3a (47)
14 <sup>g</sup>	1c	CH <sub>2</sub> Cl <sub>2</sub>	K <sub>2</sub> CO <sub>3</sub>	3b [79 (74)] <sup>h</sup>

<sup>a</sup>Unless noted otherwise, reactions were performed with **1** (0.1 mmol), **2a** (0.05 mmol), and base (0.2 mmol) in solvent (0.5 mL) at 35 °C for 30–48 h. <sup>b</sup>Determined by crude <sup>1</sup>H NMR analysis using mesitylene as the internal standard. <sup>c</sup>0.05 mmol of **1b** was used. <sup>d</sup>With 0.1 mmol of base. <sup>e</sup>HFIP/CH<sub>2</sub>Cl<sub>2</sub> = 1:1. <sup>f</sup>At 0 °C. <sup>g</sup>1 mL of CH<sub>2</sub>Cl<sub>2</sub> was used. <sup>h</sup>Values in parentheses refer to the isolated yield.

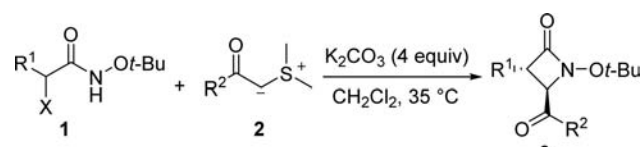
**Received:** April 25, 2016

**Published:** May 20, 2016

precursor, the desired [3 + 1]  $\beta$ -lactam product **3a** was detected in a fair yield but with excellent diastereoselectivity (Table 1, entry 2). The yield was diminished when lower amounts of **1b** were used (Table 1, entry 3). Other inorganic or organic bases or less  $K_2CO_3$  were tested, and the similar yields were obtained (Table 1, entries 4–7). In addition, slightly inferior yields were also produced in other solvents (Table 1, entries 8–10), but the reaction was prohibited by adding some hexafluoro-2-propanol (HFIP) (Table 1, entry 11).<sup>11,12</sup> The yield was decreased at lower temperature even after a longer time (Table 1, entry 12). The reaction could be improved in a diluted solution (Table 1, entry 13). To our gratification, the yield of product **3b** was significantly increased by utilizing *O*-*tert*-butyl substrate **1c** owing to fewer side reactions (Table 1, entry 14).

Consequently, the substrate scope and limitations of  $\alpha$ -halo hydroxamates and sulfur ylides were explored under the optimized reaction conditions. The results are summarized in Table 2. At first, a variety of sulfur ylides **2** were investigated in

**Table 2. Substrate Scope and Limitations of [3 + 1]-Cycloadditions<sup>a</sup>**



entry	X	R <sup>1</sup>	R <sup>2</sup>	time (h)	3 (yield, <sup>b</sup> %)
1	Br	Me	Ph	30	<b>3b</b> (74)
2	Br	Me	4-MeC <sub>6</sub> H <sub>4</sub>	24	<b>3c</b> (55)
3	Br	Me	3-MeOC <sub>6</sub> H <sub>4</sub>	30	<b>3d</b> (74)
4	Br	Me	2-naphthyl	24	<b>3e</b> (68)
5	Br	Me	3-ClC <sub>6</sub> H <sub>4</sub>	30	<b>3f</b> (47)
6	Br	Me	4-BrC <sub>6</sub> H <sub>4</sub>	25	<b>3g</b> (29)
7	Br	Me	4-CF <sub>3</sub> C <sub>6</sub> H <sub>4</sub>	24	<b>3h</b> (25)
8	Br	Me	2-thienyl	24	<b>3i</b> (30)
9	Br	Me	Me	48	
10	Br	Me	OEt	48	
11	Cl	Et	Ph	28	<b>3j</b> (53)
12	Cl	<i>n</i> -Pr	Ph	30	<b>3k</b> (56)
13	Cl	Ph(CH <sub>2</sub> ) <sub>2</sub> -	Ph	30	<b>3l</b> (47)
14	Cl	<i>i</i> -Pr	Ph	30	<b>3m</b> (25)

<sup>a</sup>Unless noted otherwise, reactions were performed with **1** (0.4 mmol) and **2** (0.2 mmol),  $K_2CO_3$  (0.8 mmol) in  $CH_2Cl_2$  (4.0 mL) at 35 °C.

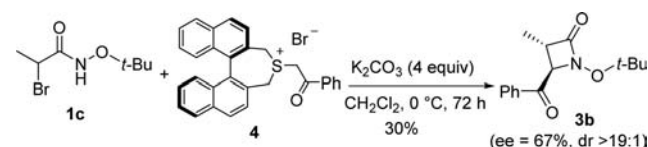
<sup>b</sup>Yield of the isolated product.

the combination with  $\alpha$ -bromo hydroxamate **1c**. Moderate yields were obtained for ylides with electron-donating aryl or 2-naphthyl groups (Table 2, entries 2–4), but only low to fair yields could be produced for those with electron-withdrawing aryl or 2-thienyl groups (Table 2, entries 5–8). Unfortunately, sulfur ylides with either an acetyl or an ester group failed to provide the desired  $\beta$ -lactam products (Table 2, entries 9 and 10). On the other hand,  $\alpha$ -bromo hydroxamates with larger  $\alpha$ -alkyl groups were compatible substrates, though low to modest yields were generally attained (Table 2, entries 11–14).

We also investigated the potential asymmetric [3 + 1]-cycloaddition reaction by employing a chiral sulfur ylide substrate. Unfortunately, much lower reactivity was generally observed, and **3b** was obtained with a moderate ee value from **1c** and sulfur ylide precursor **4** at 0 °C (Scheme 2).<sup>19</sup>

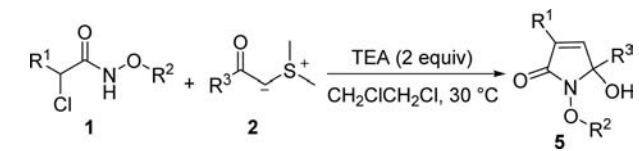
Interestingly, a different [3 + 2]-cycloaddition reaction occurred between  $\alpha$ -chloro hydroxamate **1d** with an  $\alpha$ -phenyl

**Scheme 2. Attempt in Asymmetric [3 + 1]-Cycloaddition**



group and sulfur ylide **2a**, furnishing a  $\gamma$ -lactam product **5a** with a hemiaminal moiety. Further reaction condition screenings indicated that a high yield could be obtained in 1,2-dichloroethane (DCE) at 30 °C, using TEA as the base (Table 3, entry 1). Subsequently, the substrate scope and

**Table 3. Unexpected [3 + 2]-Cycloadditions of  $\alpha$ -Chloro Hydroxamates **1** with  $\alpha$ -Aryl Groups and Sulfur Ylides **2**<sup>a</sup>**



entry	R <sup>1</sup>	R <sup>3</sup>	time (h)	5 (yield, <sup>b</sup> %)
1	Ph	Ph	15	<b>5a</b> (85)
2	3-MeC <sub>6</sub> H <sub>4</sub>	Ph	19	<b>5b</b> (80)
3	4-MeC <sub>6</sub> H <sub>4</sub>	Ph	18	<b>5c</b> (67)
4	2-MeOC <sub>6</sub> H <sub>4</sub>	Ph	16	<b>5d</b> (65)
5	2-FC <sub>6</sub> H <sub>4</sub>	Ph	16	<b>5e</b> (80)
6	4-ClC <sub>6</sub> H <sub>4</sub>	Ph	18	<b>5f</b> (77)
7	3-BrC <sub>6</sub> H <sub>4</sub>	Ph	18	<b>5g</b> (69)
8	1-naphthyl	Ph	17	<b>5h</b> (73)
9	3-thienyl	Ph	16	<b>5i</b> (66)
10	Ph	4-MeC <sub>6</sub> H <sub>4</sub>	16	<b>5j</b> (62)
11	Ph	2,4-Me <sub>2</sub> C <sub>6</sub> H <sub>3</sub>	17	<b>5k</b> (52)
12	Ph	3-MeOC <sub>6</sub> H <sub>4</sub>	16	<b>5l</b> (78)
13	Ph	2-ClC <sub>6</sub> H <sub>4</sub>	17	<b>5m</b> (53)
14	Ph	3-ClC <sub>6</sub> H <sub>4</sub>	16	<b>5n</b> (79)
15	Ph	4-BrC <sub>6</sub> H <sub>4</sub>	16	<b>5o</b> (65)
16	Ph	2-naphthyl	20	<b>5p</b> (65)
17	Ph	2-thienyl	20	<b>5q</b> (62)
18	Ph	2-styryl	16	<b>5r</b> (52)
19 <sup>c</sup>	Ph	Me	18	<b>5s</b> (42)
20 <sup>c</sup>	Ph	cyclopropyl	24	<b>5t</b> (26)
21 <sup>c</sup>	Ph	<i>t</i> -Bu	24	<b>5u</b> (27)
22 <sup>d</sup>	Ph	Ph	16	<b>5v</b> (80)
23 <sup>e</sup>	Ph	Ph	18	<b>5w</b> (72)

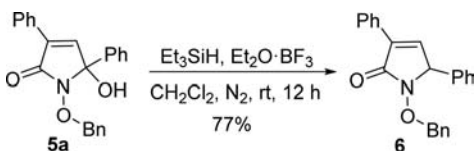
<sup>a</sup>Unless noted otherwise, reactions were performed with **1** (0.4 mmol, R<sup>2</sup> = Bn), **2** (0.2 mmol), TEA (0.4 mmol) in  $CH_2ClCH_2Cl$  (2.0 mL) at 30 °C. <sup>b</sup>Yield of the isolated product. <sup>c</sup>Sulfonium salt and TEA (0.6 mmol) were used. <sup>d</sup>R<sup>2</sup> = Et. <sup>e</sup>R<sup>2</sup> = Ph<sub>2</sub>CH-.

limitations of the new [3 + 2]-cycloaddition reaction were explored. As summarized in Table 3,  $\alpha$ -chloro hydroxamates bearing a variety of aryl or 3-thienyl groups could be well tolerated under the optimized conditions, smoothly producing the corresponding  $\gamma$ -lactam derivatives **5b–i** in moderate to good yields (Table 3, entries 2–9). On the other hand, sulfur ylides with various aryl, heteroaryl, or even 2-styryl groups were investigated, and comparable yields were generally obtained (Table 3, entries 10–18). It was notable that sulfonium salts with diversely structured alkyl groups could be directly utilized, though the corresponding products **5s–u** were delivered in low to fair yields (Table 3, entries 19–21). In addition,  $\alpha$ -chloro

hydroxamates with other O-alkyl groups were also studied, and good yields were attained (Table 3, entries 22 and 23).

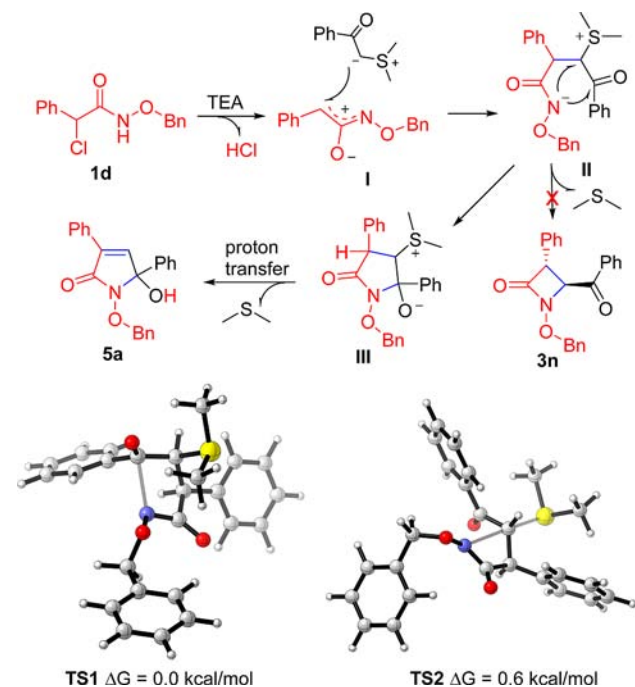
The hydroxyl group of product **5a** could be easily removed in the presence of  $\text{Et}_3\text{SiH}$  and  $\text{BF}_3 \cdot \text{Et}_2\text{O}$  under  $\text{N}_2$ ,<sup>20</sup> giving a 1,5-dihydro-2H-pyrrol-2-one derivative **6** in a good yield (Scheme 3).

Scheme 3. Dehydroxylation of [3 + 2] Product **5a**



As outlined in Scheme 4, a plausible reaction mechanism to generate the  $\gamma$ -lactam product was proposed. The sulfur ylide

Scheme 4. Proposed Mechanism for the Formation of **5a** and DFT Computational Calculation Study



**2a** reacts with the in situ formed azaoxyallyl cation **I**, delivering the zwitterionic intermediate **II**. The nitrogen anion does not substitute the sulfonium to give  $\beta$ -lactam **3n** probably due to steric hindrance, but attacks the carbonyl group to produce intermediate **III**.<sup>21</sup> Finally, proton transfer followed by elimination of dimethyl sulfide affords the observed  $\gamma$ -lactam product **5a** with a hemiaminal functionality. Moreover, brief density functional theory (DFT) calculations were performed to clarify the regioselective cycloadditions. The key transition states **TS1** and **TS2** for the formation of intermediate **III** and **3n**, respectively, were calculated, as shown in Scheme 4. The Gibbs free energy of **TS1** is 0.6 kcal/mol lower than that of **TS2**, suggesting that the five-membered ring system would be theoretically more favorable, which is consistent with the experimental results.

In conclusion, we have investigated the cycloaddition reactions of azaoxyallyl cations generated in situ from  $\alpha$ -halo hydroxamates and sulfur ylides. A formal [3 + 1]-cycloaddition

reaction was observed for  $\alpha$ -halo hydroxamates bearing  $\alpha$ -alkyl groups and  $\beta$ -lactams derivatives were obtained in fair to modest yields with excellent diastereoselectivity ( $\text{dr} > 19:1$ ), while moderate enantioselectivity was realized by employing chiral sulfur ylides. In contrast, for  $\alpha$ -halo hydroxamates with  $\alpha$ -aryl groups, an interesting formal [3 + 2]-cycloaddition reaction occurred to give  $\gamma$ -lactam derivatives in which sulfur ylides with ketone groups performed as previously unreported two-carbon partners. Additional results will be reported in due course.

## ■ ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.orglett.6b01194.

Complete experimental procedures and characterization of new products (PDF)

X-ray data for **3a** (CCDC 1474806) (CIF)

X-ray data for **5a** (CCDC 1474807) (CIF)

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### Notes

The authors declare no competing financial interest.

## ■ ACKNOWLEDGMENTS

We are grateful for financial support from the NSFC (21472239) and Third Military Medical University.

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